



LOYOLA COLLEGE (AUTONOMOUS), CHENNAI – 600 034

B.Com. DEGREE EXAMINATION – HONOURS

FIFTH SEMESTER – NOVEMBER 2023

UBH 5601 – FINANCIAL SERVICES

Date: 31-10-2023

Dept. No.

Max. : 100 Marks

Time: 09:00 AM - 12:00 NOON

SECTION A - K1 (CO1)

Answer ALL the Questions

(10 x 1 = 10)

1. MCQ
 - a) The financial system is the process by which money flows from
a. Between Different Investors b. Savers to users c. Users to Savers d. none
 - b) Which of the following are securities?
A certificate of deposit B. A share of Texaco common stock C. A Treasury Bill D All of the above
 - c) Which among the following has high risk as an outstanding feature? A. Venture capital B. Mutual funds C. Debenture finance D. Government bonds
 - d) Select one among the following that is not a fee-based financial service.
A. Lease financing B. Profit management C. Corporate counselling D. Issue management
 - e) In India, which company deals with the corpus of mutual funds? A. Trustee Company B. Asset management company C. Sponsor Company D. Mutual fund company
2. True or False/
 - a) Financial markets are vulnerable to unfair trading practices, unfair conditions, and contractual difficulties.
 - b) The process of financial intermediation is also known as direct finance
 - c) SEBI always protect the interest of investors-
 - d) NABARD is India's specialized bank for agriculture and rural development in India
 - e) SEBI has liberalized many stringent conditions so as to boost the capital and money markets

SECTION A - K2 (CO1)

Answer ALL the Questions

(10 x 1 =

10)

3. Expand
 - a) IPO
 - b) IRDA
 - c) SEBI
 - d) OTCEI
 - e) NBFC
4. Fill in the Blanks
 - a) Securities of _____ companies are traded in the secondary market
 - b) National Stock Exchange of India is situated in _____
 - c) All activities related to finance and organized into a system called _____
 - d) The process of selling the foreign trade debts to a financial institution is called _____ -

